

10/19/01
JC971 U.S. PTO

01/07/02

A

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Our Docket No.: 459799-1

Date: October 19, 2001

First Named Inventor: Jon C. Gehrke

Title: Appendage Elevator System

Express Mail No.: EL802959640US

JC997 U.S. PTO
10/038240

10/19/01

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Sheet
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification Total Pages 30
Descriptive title of the invention
Cross References to Related Applications
Statement Regarding Fed. Sponsored R&D
Reference to Microfiche Appendix
Background of the Invention
Brief Summary of the Invention
Brief Description of the Drawings (if filed)
Detailed Description
Claims
Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets 10
5. ☒ Oath or Declaration Total Pages 2
a. ☒ Newly Executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application
(see 37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☒ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☒ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☒ Information Disclosure Statement
(with Copies of Citations as necessary)
15. ☐ Preliminary Amendment Total Pages
16. ☒ Return Receipt Postcard
(Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s)
(If foreign priority is claimed)
18. ☐ Other

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.:

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

CORRESPONDENCE ADDRESS

ATTY NAME	Brian J. Laurenzo Reg. No. 34,207	SIGNATURE: <i>Brian Laurenzo</i>	DATE: 10/19/01
ADDRESS	DORSEY & WHITNEY LLP 801 Grand Avenue Suite 3900 Des Moines, IA 50309		Customer Number: 25934
TELEPHONE	515-283-1000		
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10/19/01
JC971 U.S. PAT. & TM. OFF.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 491.00

Complete if Known

Application Number	to be assigned
Filing Date	10/19/2001
First Named Inventor	Jon C. Gehrke
Examiner Name	
Group Art Unit	
Attorney Docket No.	459799-1

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **04-1420**
- Deposit Account Name **Dorsey & Whitney LLP**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370	Utility filing fee	370.00
106	330	206 165	Design filing fee	
107	510	207 255	Plant filing fee	
108	740	208 370	Reissue filing fee	
114	160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

	Total Claims	Extra Claims	Fee from below	Fee Paid
Total Claims	29	-20** = 9	9.00	81.00
Independent Claims	3	-3** = 0	0.00	0.00
Multiple Dependent			0.00	0.00

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18 203 9		Claims in excess of 20
102	84 202 42		Independent claims in excess of 3
104	280 204 140		Multiple dependent claim, if not paid
109	84 209 42		** Reissue independent claims over original patent
110	18 210 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 81.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

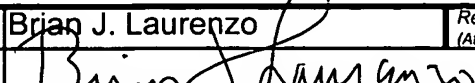
	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130 205 65		Surcharge - late filing fee or oath	
127	50 227 25		Surcharge - late provisional filing fee or cover sheet	
139	130 139 130		Non-English specification	
147	2,520 147 2,520		For filing a request for <i>ex parte</i> reexamination	
112	920* 112 920*		Requesting publication of SIR prior to Examiner action	
113	1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115	110 215 55		Extension for reply within first month	
116	400 216 200		Extension for reply within second month	
117	920 217 460		Extension for reply within third month	
118	1,440 218 720		Extension for reply within fourth month	
128	1,960 228 980		Extension for reply within fifth month	
119	320 219 160		Notice of Appeal	
120	320 220 160		Filing a brief in support of an appeal	
121	280 221 140		Request for oral hearing	
138	1,510 138 1,510		Petition to institute a public use proceeding	
140	110 240 55		Petition to revive - unavoidable	
141	1,280 241 640		Petition to revive - unintentional	
142	1,280 242 640		Utility issue fee (or reissue)	
143	460 243 230		Design issue fee	
144	620 244 310		Plant issue fee	
122	130 122 130		Petitions to the Commissioner	
123	50 123 50		Processing fee under 37 CFR 1.17(q)	
126	180 126 180		Submission of Information Disclosure Stmt	
581	40 581 40		Recording each patent assignment per property (times number of properties)	40.00
146	740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740 249 370		For each additional invention to be examined (37 CFR § 1.129(b))	
179	740 279 370		Request for Continued Examination (RCE)	
169	900 169 900		Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00

SUBMITTED BY

Name (Print/Type)	Brian J. Laurenzo	Registration No. (Attorney/Agent)	34,207	Telephone	(515) 283-1000
Signature				Date	10/19/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant: Jon C. Gehrke

Serial No. (To Be Assigned)

Filed: October 19, 2001

For: APPENDAGE ELEVATOR SYSTEM

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No. EL802959640US

Date of Deposit: October 19, 2001

Assistant Commissioner for Patents
BOX NEW PATENT APP – FEE
Washington, D. C. 20231

Dear Sir:

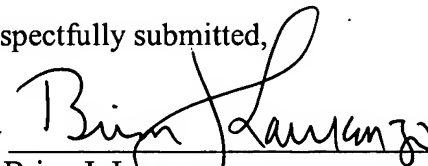
I hereby certify that the attached Utility Application for Patent Cover Sheet, including Specification and Drawings along with our firm check in the amount of \$491.00, two Fee Transmittal Sheets, Application Data Sheet, Information Disclosure Statement, Assignment document and Assignment Cover Sheet are being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D C. 20231.

Respectfully submitted,

Dated: _____

10/19/01

By



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